## **AGENT/AGENCY PROFILE**

This is not an application for employment.

Carrier or 3PL to which you are applying (Continuous American Wind Transport Group TII Logistics Jones Market State	Aetna Freight Lines	*
AGENT PROFILE  Note: An Agent Profile must be completed on e	each partner or owner of the agency.	
A. <u>Personal Information</u>		
Name	Social Secu	rity #
Address	City	StZip
Date of Birth/	Home Phone #	
How long at this address? Own	Rent Cell Phone #	
Automobile Make Model	Buying Year	Leasing
B. <u>Education</u>		
Last year completed in school	years completed	
Name and Address of School		
C. <u>Drivers License Information</u>		
State Type	Number	Exp. Date
D. <u>Other Information</u>		
Any criminal convictions? (Give Complete detail	Is including dates, nature of offense a	and sentence imposed).
Ever been denied bonding? (Give complete deta	ils including bonding company and r	eason for decline).
Any history of bankruptcy? (Give complete deta	ils including dates).	
Any lawsuits pending or filed against you? (Give	e complete details including name of	attorney, nature of suit, etc).

1.			
Name		Telephone #	Date From/To
Address		Reason for Leaving	
2.			
Name		Telephone #	Date From/To
Address		Reason for Leaving	
3.			
Name		Telephone #	Date From/To
Address		Reason for Leaving	
F. Emergency Contact (Pers	sons not living wi	th you to be contacted in an	emergency).
Name Name	Address	in you to be contacted in an	Telephone #
	_		
APPLICANT'S CERTIFICATION	AND AGREEM	ENT	
on a commission-only basis. I hereb that if I become an agent, false states	y certify that the f ments on this appl	acts set forth in the above apication shall be considered s	ald be performed as an independent contractor oplication are true and complete. I understand ufficient cause for dismissal. You are hereby it record through any investigative or credit
Signature of Applicant			Date

**Present and Previous Employment History** 

E.

# AGENCY PROFILE A. Background Information Name of Agency Address (Physical) \_\_\_\_ City State Zip Address (Mailing) City State Zip 800# Fax# \_\_\_\_\_ Office Phone#\_\_\_\_\_ Email Address#2 Sole Proprietorship Partnership Corporation Date Formed\_\_\_\_\_ Federal ID/Social Security#\_\_\_\_\_ **Major Customers and Commodities** B. City/State Commodities % Flat/Van/Reefer Name C. **Equipment Detail** (Owned or Leased) (Attach list if more space is needed) Year Make Model Serial# D. **Other Information** Ever been denied bonding? (Give complete details including bonding company and reason for decline) Any history of bankruptcy? (Give complete details including dates). What percent of your business comes from brokers/logistics companies?

Any lawsuits pending or filed against agency? (Give complete details including name of attorney, nature of suit, etc).

1.				
Carrier Name		Contact	Telephone #	Date From/To
Address		Comm. Rat	e	Reason For Leaving
2.				
Carrier Name		Contact	Telephone #	Date From/To
Address		Comm. Rat	e	Reason For Leaving
3.				
Carrier Name		Contact	Telephone #	Date From/To
Address		Comm. Rat	e	Reason For Leaving
F. <u>Terminal</u>	Personnel			
Name	Address		Telephone #	Position
Name	Address		Telephone #	Position
WHAT CAN WE	DO TO HELP YOU I	NCREASE YOUR I	REVENUES AND/	OR PROFITABILITY?
APPLICANT'S C	ERTIFICATION AND	AGREEMENT		
commission-only become an agent, f	basis. I hereby certify the false statements on this	hat the facts set forth application shall be c	in the above applic considered sufficient	ald be performed as an independent contractor on a cation are true and complete. I understand that if I t cause for dismissal. You are hereby authorized to any investigative or credit agencies or bureaus of
Signature of Appl	icant			<u> </u>



#### NOTICE AND ACKNOWLEDGMENT IMPORTANT--- PLEASE READ CAREFULLY BEFORE SIGNING ACKNOWLEDGMENT

#### NOTICE REGARDING BACKGROUND INVESTIGATION

American Transport ("the Company" or "Employer") may obtain information about you from a consumer reporting agency for employment purposes. Thus, you may be the subject of a "consumer report" and/or an "investigative consumer report" which may include information about your character, general reputation, personal characteristics, and/or mode of living, and which can involve personal interviews with employers and/or associates. Please be advised that the nature and scope of the most common form of investigative consumer report obtained with regard to applicants for employment is an investigation into your education and/or employment history conducted by Employment Background Investigations, Inc. (EBI), P.O. Box 629, Owings Mills, MD 21117, 1-800-324-7700. These reports may be obtained at any time after receipt of your authorization and, if you are hired, throughout your employment. You have the right, upon written request made within a reasonable time after receipt of this notice, to request disclosure of the nature and scope of any investigative consumer report and a Summary of Your Rights Under the Fair Credit Reporting Act. The scope of this notice and authorization is allencompassing, however, allowing Employer to obtain from EBI all manner of consumer reports and investigative consumer reports now and, if you are hired, throughout the course of your employment to the extent permitted by law. As a result, you should carefully consider whether to exercise your right to request disclosure of the nature and scope of any investigative consumer report.

New York applicants or employees only: You have the right to inspect and copy of any investigative consumer report requested by Employer by cont consumer reporting agency identified above directly.

Applicant Signature

ACKNOWLEDGMENT	AND AUTHORIZATION

acknowledge receipt of the NOTICE REGARDING BACKGROUND INVESTIGATION and a summary of your rights under the fair credit reporting act and certify that I have read and understand both of those documents. I hereby authorize the obtaining of "consumer reports" and/or "investigative consumer reports" at any time after receipt of this authorization and, if I am hired, throughout my employment. To this end, I hereby authorize, without reservation, any law enforcement agency, administrator, local, state or federal agency, institution, school or university (public or private), information service bureau, employer, or insurance company to furnish any and all background information (including, but not limited to, driving and/or motor vehicle records. transcripts, grades and attendance records, employment history, salary information and references, drug and alcohol testing results) requested by EBI acting on behalf of Employer, and/or Employer itself, I agree that a facsimile ("fax") or photographic copy of this Authorization shall be as valid as the original.

Minnesota and Oklahoma applicants or employees only: Please check this box if you would like to receive a copy of a consumer report if one is obtained by the Company. D

California applicants or employees only: By signing below you also acknowledge receipt of the NOTICE REGARDING BACKGROUND INVESTIGATION PURSUANT TO CALIFORNIA

receive a acting the	LAW. Please check this box if you would like to receive a copy of an investigative consumer report or consumer credit report if one is obtained by the Company at no charge whenever you have a right to receive such a copy under California law. [3]
	Date: / /

### NOTICE REGARDING BACKGROUND INVESTIGATION PURSUANT TO CALIFORNIA LAW

Employer (the "Company") intends to obtain information about you from an investigative consumer reporting agency and/or a consumer credit reporting agency for employment purposes. Thus, you can expect to be the subject of "investigative consumer reports" and "consumer credit reports" obtained for employment purposes. Such reports may include information about your character, general reputation, personal characteristics and mode of living. With respect to any investigative consumer report from an investigative consumer reporting agency ("ICRA"), the Company may investigate the information contained in your employment application and other background information about you. including but not limited to obtaining a criminal record report, verifying references, work history, your social security number, your educational achievements, licensure, and certifications, your driving record, and other information about you, and interviewing people who are knowledgeable about you. The results of this report may be used as a factor in making employment decisions. The source of any investigative consumer report (as that term is defined under California law) will be Employment Background Investigations, Inc., P.O. Box 629, Owings Mills, MD 21117, 1-800-324-7700. The source of any credit report will be TransUnion P.O. Box 1000, Chester, PA 19022, 1-800-888-

The Company agrees to provide you with a copy of an investigative consumer report when required to do so under California law.

Under California Civil Code section 1786.22, you are entitled to find out from an ICRA what is in the ICRA's file on you with proper identification, as follows:

٠	In person, by visual inspection of your file during normal business hours and on
	reasonable notice. You also may request a copy of the information in person. The
	ICRA may not charge you more than the actual copying costs for providing you with a
	copy of your file.

A summary of all information contained in the ICRA's file on you that is required to be provided by the California Civil Code will be provided to you via telephone, if you have made a written request, with proper identification, for telephone disclosure, and the toll charge, if any, for the telephone call is prepaid by or charged directly to you.

By requesting a copy be sent to a specified addressee by certified mail. ICRAs complying with requests for certified mailings shall not be liable for disclosures to third

parties caused by mishandling of mail after such mailings leave the ICRAs.

Proper Identification\* includes documents such as a valid driver's license, social security account number, military identification card, and credit cards. Only if you cannot identify yourself with such information may the ICRA require additional information concerning your employment and personal or family history in order to verify your identity.

The ICRA will provide trained personnel to explain any information furnished to you and will provide a written explanation of any coded information contained in files maintained on you. This written explanation will be provided whenever a file is provided to you for visual inspection.

You may be accompanied by one other person of your choosing, who must furnish reasonable identification. An ICRA may require you to furnish a written statement granting permission to the ICRA to discuss your file in such person's presence.

Applicar	it Signature	D	)ate:	<u></u>	

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TO BE COMPLETED BY APPLICANT The Following Information is True And Correct To The Best Of My Knowledge And Will Be Used For Background Screening Purposes Only, Please Use an Ink Pen and Print Clearly, Use "UPPER CASE" Letters, One Letter Per Block.																																			
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Last Name																																			
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Other States and Counties	1	l																3																	
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(Rev. December 2011) Department of the Treasury Internal Revenue Service

## **Request for Taxpayer** Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

	Name (as shown on your income tax return)														
ge 2.	Business name/disregarded entity name, if different from above														
Print or type See Specific Instructions on page	Check appropriate box for federal tax classification:  Individual/sole proprietor														
Print or type Instructions	☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶														
F	☐ Other (see instructions) ►														
pecific	Address (number, street, and apt. or suite no.)	Request	er's nam	e and	addres	s (opti	ional)								
See S	City, state, and ZIP code														
	List account number(s) here (optional)														
Par	Taxpayer Identification Number (TIN)														
Entery	our TIN in the appropriate box. The TIN provided must match the name given on the "Name	e" line	Social	securi	ity num	ber									
reside	d backup withholding. For individuals, this is your social security number (SSN). However, fut alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other, it is your employer identification number (EIN). If you do not have a number, see <i>How to g</i>	r			-		-								
TIN on	page 3.	_													
	f the account is in more than one name, see the chart on page 4 for guidelines on whose		Employ	er ide	entifica	tion nu	ımber								
numbe	r to enter.			_											
	T - 100 11														
Part															
	penalties of perjury, I certify that:														
1. The	number shown on this form is my correct taxpayer identification number (or I am waiting for	r a numb	er to be	issue	ed to n	ne), ar	ıd								
Ser	not subject to backup withholding because: (a) I am exempt from backup withholding, or ( vice (IRS) that I am subject to backup withholding as a result of a failure to report all interest onger subject to backup withholding, and	b) I have i or divide	not bee nds, or	n not (c) th	ified by ie IRS	the I has no	nterna otified	l Rev me th	enue nat I am						
3. I an	a U.S. citizen or other U.S. person (defined below).														
becaus interes genera	cation instructions. You must cross out item 2 above if you have been notified by the IRS is you have failed to report all interest and dividends on your tax return. For real estate transt paid, acquisition or abandonment of secured property, cancellation of debt, contributions lly, payments other than interest and dividends, you are not required to sign the certification tions on page 4.	sactions, i to an indi	tem 2 d vidual r	does i etiren	not ap nent ar	oly. Fo	or mor ment	tgage (IRA),	and						
Sign Here	Signature of U.S. person ►	ate ►													
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### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

#### Purpose of Form

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued).
  - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,
- · An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.